

01/22/01
jc912 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

Page 1 of 2

PATENT
APPLICATION

RULE 53(f) NO DECLARATION

Hon. Commissioner of Patents
Washington, DC 20231

Atty. Dkt.

PM 272065

M#

Date:

January 22, 2001

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title: **HUMAN EMBRYOID BODY-DERIVED CELLS**

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 85 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 33 Numbered claim(s); and

7. ☒ Drawings: 2 sheet(s) ☒ 1 set informal; 8. ☐ formal of size: ☐ A4 ☐ 11"

9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/177,287	01/21/00	(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date)
in U.S. Application No. / filed on

12. ☐ This is a reissue of Patent No.

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)

14. ☐ **Amend the specification** by inserting before the first line -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:

14(a) ☐ National Appln. No. / filed -- (M#)

14(b) ☐ International Appln. No. **PCT/** filed which
designated the U.S., and that International Application ☐ was ☐ was not published
under PCT Article 21(2) in English.--

15. ☐ **Amend the specification** by inserting before the first line: --This application
claims the benefit of U.S. Provisional Application No. 60/ , filed .--

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)

17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not
essential to make claim)

17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

18. ☐ Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

19. ☒ Attached: Sequene Listing (hard copy); and Sequence Listing (computer readable copy)

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Michael	J.	SHAMBLOTT
	First	Middle Initial	Family Name
Residence	Baltimore	Maryland	U.S.A.
	City	State/Foreign Country	Country of Citizenship
Mailing Address	2811 Halcyon Avenue, Baltimore, MD		
(include Zip Code)	21214		

(2) Inventor	John	D.	GEARHART
	First	Middle Initial	Family Name
Residence	Baltimore	Maryland	U.S.A.
	City	State/Foreign Country	Country of Citizenship
Mailing Address	5714 Charlestown Drive, Baltimore, MD		
(include Zip Code)	21212		

(3) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☐
and attach sheet with same information regarding additional inventors.

Pillsbury Winthrop LLP
Intellectual Property Group

1100 New York Avenue, NW.
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
Atty/Sec: AED/KAC

By: Atty: Alan E. Dow

Reg. No. 35,123

Sig:

Alan E. Dow

Fax: (202) 822-0944
Tel: (619) 544-3137

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments